

PHAPlans

5YearPlanforFiscalYears2000 -2004
AnnualPlanforFiscalYear2003

JeffersonCounty,Kentucky
KY105

**NOTE: THIS PHA PLAN TEMPLATE (HUD50075) IS TO BE COMPLETED IN
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

PHA Plan Agency Identification

PHAName: Housing Authority of Jefferson County

PHANumber: KY105

PHAFiscalYearBeginning:(mm/yyyy) 07/2003

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting:(select all that apply)

- ☒ Main administrative office of the PHA
- ☐ PHA development management offices
- ☐ PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at:(select all that apply)

- ☒ Main administrative office of the PHA
- ☐ PHA development management offices
- ☐ PHA local offices
- ☐ Main administrative office of the local government
- ☐ Main administrative office of the County government
- ☐ Main administrative office of the State government
- ☐ Public library
- ☐ PHA website
- ☐ Other (list below)

PHA Plan Supporting Documents are available for inspection at:(select all that apply)

- ☒ Main business office of the PHA
- ☐ PHA development management offices
- ☐ Other (list below)

5-YEAR PLAN
PHAF ISCAL YEARS 2000 -2004
[24CFRPart903.5]

A.Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

- ☒ The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- ☐ The PHA's mission is: (state mission here)

B.Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THE OBJECTIVES OVER THE COURSE OF THE 5 YEAR PERIOD.** (Quantifiable measures would include targetssuch as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the space to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

- ☒ PHA Goal: Expand the supply of assisted housing
Objectives:
- ☒ Apply for additional rental vouchers: 500 over 5 years
 - ☒ Reduce public housing vacancies: average 96% occupancy
 - ☒ Leverage private or other public funds to create additional housing opportunities: 20 units over 5 years
 - ☒ Acquire or build units or developments
 - ☐ Other (list below)

2002 Update: Applying for Fair Share Vouchers; successful over time project to lease the 194 vouchers received in 2001

- ☒ PHA Goal: Improve the quality of assisted housing
Objectives:
- ☒ Improve public housing management: (PHAS score) 90%
- 2003 Update: Received High Performer status
- ☒ Improve voucher management: (SEMAP score) 90%
- 2003 Update: Received High Performer Status
- ☒ Increase customer satisfaction: 80% customer satisfaction survey

- ☐ Concentrate one effort to improve specific management functions: (list; e.g., public housing finance; voucher unit inspections)
- ☒ Renovate or modernize public housing units: 100 over 5 years
- ☐ Demolish or dispose of obsolete public housing:
- ☐ Provide replacement public housing:
- ☐ Provide replacement vouchers:
- ☐ Other: (list below)

- ☒ PHA Goal: Increase assisted housing choices
Objectives:
 - ☐ Provide voucher mobility counseling:
 - ☒ Conduct outreach effort to potential voucher landlords
 - ☒ Increase voucher payment standards
 2003 Update: Continue increase to 110% due to utility costs; 120% in Exception Rent areas
 - ☒ Implement voucher homeownership program: Innovate until HUD regulations - already implemented
 2003 Update: allocating resources for post-purchase Individual Development Account and counseling; 20 families certify to purchase in addition to nine already owners
 - ☒ Implement public housing or other homeownership programs: continue sale of single family public housing in Newburg under approved plan
 - ☒ Implement public housing site-based waiting lists: keep merged with Section 8 list, but identify those who want public housing specifically
 - ☐ Convert public housing to vouchers:
 - ☒ Other: (list below)
 Allow households in good standing to choose Section 8 or public housing or mod rehab interchangeably and not be locked into one program. Effective immediately.

HUD Strategic Goal: Improve community quality of life and economic vitality

- ☒ PHA Goal: Provide an improved living environment
Objectives:
 - ☐ Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
 - ☐ Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
 - ☒ Implement public housing security improvements: lighting
 2003 Update: PHDEP will end
 - ☐ Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
 - ☒ Other: (list below)
 Allow households to choose assistance type, so that a public housing resident could choose to use the Section 8 program to move or a person with a voucher could choose a public housing

site. Make this choice seamless to the client while properly billing the correct program for the housing.

Continue to eliminate portability barriers to clients using Section 8 vouchers by administering by contract the city of Louisville's Section 8 program. Merge with Housing Authority of Louisville

Target low poverty areas with higher rents for higher Payment Standards.

Expedite time for households who wish to do an early lease cancellation in Section 8

Update 2003: Planning for merger

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

☒ PHA Goal: Promote self-sufficiency and asset development of assisted households

Objectives:

☒ Increase the number and percentage of employed persons in assisted families: For non-elderly or non-disabled head of household, 66% with income from employment

☒ Provide or attract supportive services to improve assistance recipients' employability: Partner with agencies providing services leading to stabilization and employment skills building.

☒ Provide or attract supportive services to increase independence for the elderly or families with disabilities. Work with agencies that provide skills building and employment assistance for persons with disabilities or handicaps, effectively utilizing the Mainstream program. Bring service providers to the high-rise site to provide activities and services. Make services available to scattered-site residents.

☒ Other: (list below) Continue work on the Individual Development Account program to bring economic literacy and savings and investment skills to households. Encourage long-term asset building.

Continue Family Self-Sufficiency Program unless and improved substitute is created.

2003 Update: Funding for two FSS positions continued and positions filled

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

☒ PHA Goal: Ensure equal opportunity and affirmatively further fair housing

Objectives:

☒ Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion, national origin, sex, familial status, and disability: Continue work with the Kentucky Commission on Human Rights. Use census tracts mapping to measure results in Section 8.

Do regular mailing to Section 8 owners about fair housing.

Continue involvement with the Fair Housing Council so long as the council's rules permit serving on a board.

Use discretion to give higher payment standards in census tracts with low poverty and few Section 8 residents.

- ☒ Undertakeaffirmativemeasurestoprovideasuitablelivingenvironmentforfamilies livinginassistedhousing,regardlessofrace,color,religionnationalorigin,sex, familialstatus,anddisability:ContinueaggressiveSection8inspectionstandards.

RequiresupportiveprogramsforhousingauthoritiesponsorshipforLIHTCinbonus areasandaffirmativemarketingtoSection8householdsinnon-bonusareas.

- ☒ Undertakeaffirmativemeasurestoensureaccessiblehousingtopersonswithall varietiesofdisabilitiesregardlessofunitsizerequired:Renovatehighrisetomake moreaccessible.

ContinuepartnershipwiththeCenterforAccessibleLivingandformnewpartnerships withserviceprovidersthatcombinehousingandservices.UseofMainstream program..

ContinueallowhigherPaymentStandardforaccessibleunits.

- ☒ Other:(listbelow)

Identifybarriersandadapteconomicindependenceprograms(e.g.FSS)foreasy accessibilitytopersonswithdisabilities.

Workwithadvocacygroupstocreatehousingsthataidstability.

2003Update:HomeOwnershipPlanincludesprovisionsforpersons with disabilities.FiftyvoucherstobeusedforimplementationofOlmsteaddecision.

OtherPHAGoalsandObjectives:(listbelow)

XContinuecollaboratingwithareaPHAs toimprovechoicesforconsumers

AnnualPHAPlan PHAFiscalYear2002

[24CFRPart903.7]

i. AnnualPlanType:

SelectwhichtypeofAnnualPlanthePHAwillsubmit.

☐ **StandardPlan**

StreamlinedPlan:

- ☒ **HighPerformingPHA**
☒ **SmallAgency(<250PublicHousingUnits)**
☐ **AdministeringSection8Only**

☐ **TroubledAgencyPlan**

ii. Executive Summary of the Annual PHA Plan

[24CFR Part 903.79(r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

iii. Annual Plan Table of Contents

[24CFR Part 903.79(r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

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Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the filename in parentheses in the space to the right of the title.

Required Attachments:

SEE: Five year plan for updates

☐

Admissions Policy for Deconcentration

☒

FY 2003 Capital Fund Program Annual Statement and Performance and Evaluation Reports

- ☐ Most recent board -approved operating budget (Required Attachment for PHA that are troubled or at risk of being designated troubled ONLY)
- E Resident Advisory Board
- F Section 8 Home Ownership Capacity Statement
- G Resident Member of the PHA Governing Board
- H Implementation of Community Service Requirement
- I Definition of Significant Amendment to the Annual Plan and Substantial Deviation From the Five Year Plan

Y Deconcentration and Income Mixing Assessment Questions

Z Voluntary Conversion Required Initial Assessment Status Questions

AA RASS Follow -Up Report

Optional Attachments:

- ☒ C PHA Management Organizational Chart
- ☒ B FY 2003 Capital Fund Program 5 Year Action Plan
- ☐ Public Housing Drug Elimination Program (PHDEP) Plan
- ☐ Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text)
- ☐ Other (List below, providing each attachment name)

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the "Applicable & On Display" column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
E	PHA Plan Certification of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
F	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
O	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdiction to implement any of the jurisdictions' initiatives to affirmatively further fair housing that require the PHA's involvement.	5 Year and Annual Plans
G	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
BB	Most recent board -approved operating budget for the public housing program	Annual Plan: Financial Resources;

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
H	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
I	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
CC	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA Board certification of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
H	Public housing rent determination policies, including the methodology for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A&O Policy	Annual Plan: Rent Determination
H	Schedule of flat rents offered at each public housing development <input checked="" type="checkbox"/> check here if included in the public housing A&O Policy	Annual Plan: Rent Determination
I	Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
T	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
J	Public housing grievance procedures <input type="checkbox"/> check here if included in the public housing A&O Policy	Annual Plan: Grievance Procedures
I	Section 8 informal review and hearing procedures <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
	The HUD - approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
U	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
P	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any	Annual Plan: Capital Needs

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
	other approved proposal for development of public housing	
EE	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
K	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
L	Policies governing any Section 8 Homeownership program <input checked="" type="checkbox"/> check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
S	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
M	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
DD	Most recent self-sufficiency (ED/SS, TOP or ROSS or other residents services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
Q	The most recent Public Housing Drug Elimination Program (PHDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
R	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
N	Other supporting documents (optional) (list individually; use as many lines as necessary) MHC Rept on Segregation and Affordability Impediments to Fair Housing	(specify as needed)
W	Public Housing Pet Policy	

1. Statement of Housing Needs

[24CFR Part 903.79(a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford- ability	Supply	Quality	Access- ibility	Size	Loca- tion
Income ≤ 30% of AMI	24234	5	5	4	Na	4	5
Income > 30% but ≤ 50% of AMI	14976	3	4	3	Na	3	4
Income > 50% but < 80% of AMI	18287	1	2	2	Na	2	2
Elderly	9496	4	3	2	Na	3	4
Families with Disabilities	41153	Na	Na	Na	Na	Na	Na
African American	38037	5	4	3	Na	Na	5
Race/Ethnicity							
Race/Ethnicity							
Race/Ethnicity							

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- ☒ Consolidated Plan of the Jurisdiction/s
Indicate year: 2000/Jefferson County and 2000/City of Louisville
- ☒ U.S. Census data: the Comprehensive Housing Affordability Strategy ("CHAS") dataset
- ☐ American Housing Survey data
Indicate year:
- ☐ Other housing market study
Indicate year:
- ☒ Other sources: (list and indicate year of information)
MHC Studies on Segregation and Affordability
Impediments to Fair Housing

B. Housing Needs of Families on the Public Housing and Section 8 Tenant Assistance Waiting Lists -Based

State the housing needs of the families on the PHA's waiting list/s. **Complete one table for each type of PHA -wide waiting list administered by the PHA.** PHA may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

HousingNeedsofFamiliesontheWaitingList			
Waitinglist type:(selectone)			
<input type="checkbox"/> Section8tenant -basedassistance <input type="checkbox"/> PublicHousing <input checked="" type="checkbox"/> CombinedSection8andPublicHousing <input type="checkbox"/> PublicHousingSite -Basedorsub -jurisdictionalwaitinglist(optional) Ifused,identifywhichdevelopment/subjurisdiction:			
	#offamilies	%oftotalfamilies	AnnualTurnover
Waitinglisttotal	8257		1000
Extremelylow income<=30%AMI	7360	89%	
Verylowincome (>30%but<=50%AMI)	896	11%	
Lowincome (>50%but<80%AMI)	1	<1%	
Familieswith children	5328	65%	
Elderlyfamilies	291	4%	
Familieswith Disabilities	2317	28%	
AfricanAmerican	4874	59%	
NativeAmerican	64	<1%	
Asian/Pacific	20	<1%	
Hispanic	118	1%	
Caucasion324839%			
Characteristicsby BedroomSize (PublicHousing Only)			
1BR	3265	39%	
2BR	3344	40%	
3BR	1186	14%	
4BR	176	2%	
5BR	5	<1%	
5+BR	3	<1%	

Housing Needs of Families on the Waiting List	
Is the waiting list closed (select one)?	<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes
If yes:	
How long has it been closed (# of months)?	
Does the PHA expect to re-open the list in the PHA Plan year?	<input type="checkbox"/> No <input type="checkbox"/> Yes
Does the PHA permit specific categories of families onto the waiting list, even if generally closed?	<input type="checkbox"/> No <input type="checkbox"/> Yes

C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1: Maximize the number of affordable units available to the PHA with its current resources by:

Select all that apply

- ☒ Employ effective maintenance and management policies to minimize the number of public housing units off -line
- ☒ Reduce turnover time for vacated public housing units
- ☐ Reduce time to renovate public housing units
- ☐ Seek replacement of public housing units lost to the inventory through mixed finance development
- ☐ Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- ☒ Maintain or increase section 8 lease -uprates by establishing payment standards that will enable families to rent throughout the jurisdiction
- ☒ Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- ☒ Maintain or increase section 8 lease -uprates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- ☐ Maintain or increase section 8 lease -uprates by effectively screening Section 8 applicants to increase owner acceptance of program
- ☒ Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- ☐ Other (list below)

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- ☒ Apply for additional section 8 units should they become available
- ☐ Leverage affordable housing resources in the community through the creation of mixed - finance housing
- ☒ Pursue housing resources other than public housing or Section 8 tenant -based assistance.
- ☐ Other: (list below)

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30% of AMI

Select all that apply

- ☐ Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- ☒ Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant -based section 8 assistance
- ☒ Employ admissions preferences aimed at families with economic hardships
- ☒ Adopt rent policies to support and encourage work
- ☐ Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- ☐ Employ admissions preferences aimed at families who are working
- ☒ Adopt rent policies to support and encourage work
- ☐ Other: (list below)

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- ☐ Seek designation of public housing for the elderly
- ☒ Apply for special -purpose voucher targeted to the elderly, should they become available
- ☐ Other: (list below)

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- ☐ Seek designation of public housing for families with disabilities
- ☒ Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- ☒ Apply for special -purpose voucher targeted to families with disabilities, should they become available
- ☒ Affirmatively market to local non -profit agencies that assist families with disabilities
- ☒ Other: (list below)
Work with a advocacy group to create housing situations that aid stability.
Identify barriers and adapt economic independence programs for easy accessibility for persons with disabilities. Create response to Olmstead decision with partnership with the state division of Mental Health/Mental Retardation

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- ☐ Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- ☒ Other: (list below)
Affirmatively educate the positive aspects of choosing to live in areas that are not typically in the Section 8 program. This is in conjunction with appropriate Payment Standards for these areas and active owner recruitment.

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- ☐ Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- ☒ Market the section 8 program to owners outside of areas of poverty/minority concentrations
- ☐ Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- ☒ Funding constraints
- ☒ Staffing constraints
- ☒ Limited availability of sites for assisted housing
- ☒ Extent to which particular housing needs are met by other organizations in the community

- ☒ Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- ☐ Influence of the housing market on PHA programs
- ☒ Community priorities regarding housing assistance
- ☐ Results of consultation with local or state government
- ☐ Results of consultation with residents and the Resident Advisory Board
- ☒ Results of consultation with advocacy groups
- ☐ Other: (list below)

2. Statement of Financial Resources

[24CFR Part 903.79(b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant-based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2003 grants)		
a) Public Housing Operating Fund	\$218,236	Run Public Housing
b) Public Housing Capital Fund	\$300,187	Attached CFP Plan
c) HOPE VI Revitalization	0	
d) HOPE VI Demolition	0	
e) Annual Contributions for Section 8 Tenant-Based Assistance	\$24,345,492	Section 8 Program
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)	0	
g) Resident Opportunity and Self-Sufficiency Grants	\$0	Public housing for elderly-services and activities and case management, two sites.
h) Community Development Block Grant	0	
i) HOME	0	
Other Federal Grants (list below)		
FSS Coordinator	\$77,000	Oversee FSS case managers with Dept for Human Services

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
2. Prior Year Federal Grants (unobligated funds only) (list below)		
Public Housing Drug Elimination	\$0	PHDEP
3. Public Housing Dwelling Rental Income	\$365,000 estimate	Run Public Housing
4. Other income (list below)		
Interest income	\$20,000 estimate	IDA program
Miscellaneous income	\$3,000	FSS program
4. Non -federal sources (list below)		
Total resources	\$25,328,915	

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24CFR Part 903.79(c)]

A. Public Housing

Exemptions: PHA that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- ☐ When families are within a certain number of being offered a unit: (state number)
- ☒ When families are within a certain time of being offered a unit: 60 days
- ☐ Other: (describe)

b. Which non -income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- ☒ Criminal or Drug -related activity

- ☒ Rental history
- ☐ Housekeeping
- ☐ Other (describe)

- c. ☒ Yes ☐ No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?
- d. ☐ Yes ☒ No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
- e. ☐ Yes ☒ No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC -authorized source)

(2) Waiting List Organization

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- ☒ Community-wide list
- ☐ Sub-jurisdictional lists
- ☒ Site-based waiting lists
- ☐ Other (describe)

b. Where may interested persons apply for admission to public housing?

- ☐ PHA main administrative office
- ☐ PHA development site management office
- ☒ Other (list below) Accept applications by mail.

c. If the PHA plan to operate one or more site -based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site -based waiting lists will the PHA operate in the coming year? 1

2. ☐ Yes ☒ No: Are any or all of the PHA's site -based waiting lists new for the upcoming year (that is, they are not part of a previously -HUD-approved site based waiting list plan)?
If yes, how many lists?

3. ☒ Yes ☐ No: May families be on more than one list simultaneously?
If yes, how many lists?

4. Where can interested persons obtain more information about and sign up to be on the site -based waiting lists (select all that apply)?

- ☐ PHA main administrative office
- ☐ All PHA development management offices

- ☒ Management offices at developments with site-based waiting lists
- ☐ At the development to which they would like to apply
- ☐ Other (list below)

(3) Assignment

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- ☐ One
- ☐ Two
- ☒ Three or More

b. ☐ Yes ☒ No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA: limit on number of sites with the bedroom size appropriate to the household.

(4) Admissions Preferences

a. Income targeting:

- ☐ Yes ☒ No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfer take precedence over new admissions? (list below)

- ☒ Emergencies
- ☒ Overhoused
- ☒ Underhoused
- ☒ Medical justification
- ☒ Administrative reasons determined by the PHA (e.g., to permit modernization work)
- ☐ Resident choice: (state circumstances below)
- ☐ Other: (list below)

c. Preferences

1. ☒ Yes ☐ No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If "no" is selected, skip to subsection **(5) Occupancy**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- ☒ Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- ☒ Victims of domestic violence
- ☒ Substandard housing
- ☒ Homelessness
- ☒ High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- ☐ Working families and those unable to work because of age or disability
- ☐ Veterans and veterans' families
- ☐ Residents who live and/or work in the jurisdiction
- ☐ Those enrolled currently in educational, training, or upward mobility programs
- ☐ Household that contributes to meeting income goals (broad range of incomes)
- ☐ Household that contributes to meeting income requirements (targeting)
- ☐ Those previously enrolled in educational, training, or upward mobility programs
- ☒ Victims of reprisals or hate crimes
- ☒ Other preference(s) (list below)
 - Paying over 40% of income for rent and utilities
 - Special population allocations from HUD or as properly promulgated by the HA

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

2 Date and Time

Former Federal preferences:

- 1 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- 2 Victims of domestic violence
- 2 Substandard housing
- 1 Homelessness sometimes a "2" rating depending on referral program
- 2 High rent burden

Other preferences (select all that apply)

- ☐ Working families and those unable to work because of age or disability
- ☐ Veterans and veterans' families
- ☐ Residents who live and/or work in the jurisdiction
- ☐ Those enrolled currently in educational, training, or upward mobility programs
- ☐ Household that contributes to meeting income goals (broad range of incomes)
- ☐ Household that contributes to meeting income requirements (targeting)

- ☐ Those previously enrolled in educational, training, or upward mobility programs
- ☒ Victims of reprisals or hate crimes Rating 2
- ☒ Other preference(s) (list below)
- Rent burden of 40% Rating 2
- Special population allocations from HUD or as properly promulgated by the HA Rating 2

4. Relationship of preferences to income targeting requirements:

- ☐ The PHA applies preferences within income tiers
- ☒ Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- ☒ The PHA - resident lease
- ☒ The PHA's Admissions and (Continued) Occupancy policy
- ☐ PHA briefing seminars or written materials
- ☒ Other source (list)
- Rules for Bishop Lane Plaza site
- Grievance Procedure
- Late Payment Policy

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- ☒ At an annual reexamination and lease renewal
- ☒ Anytime family composition changes
- ☐ At family request for revision
- ☐ Other (list)

(6) Deconcentration and Income Mixing

- a. ☐ Yes ☒ No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

b. ☐ Yes ☒ No: Did the PHA adopt any changes to its **admissions policies** based on the result of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)

- ☐ Adoption of site-based waiting lists
If selected, list targeted developments below:
- ☐ Employing waiting list "skipping" to achieve deconcentration of poverty or income mixing goals at targeted developments
If selected, list targeted developments below:
- ☐ Employing new admission preferences at targeted developments
If selected, list targeted developments below:
- ☐ Other (list policies and development targeted below)

d. ☐ Yes ☐ No: Did the PHA adopt any changes to **other policies** based on the result of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

- ☐ Additional affirmative marketing
- ☐ Actions to improve the marketability of certain developments
- ☐ Adoption or adjustment of ceiling rents for certain developments
- ☐ Adoption of rent incentives to encourage deconcentration of poverty and income mixing
- ☐ Other (list below)

f. Based on the result of the required analysis, in which developments will the PHA make special efforts to attract or retain higher income families? (select all that apply)

- ☐ Not applicable: result of analysis did not indicate a need for such efforts
- ☐ List (any applicable) developments below:

g. Based on the result of the required analysis, in which developments will the PHA make special efforts to assure access for lower income families? (select all that apply)

- ☐ Not applicable: result of analysis did not indicate a need for such efforts
- ☐ List (any applicable) developments below:

B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B.
Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).

(1) Eligibility

a. What is the extent of screening conducted by the PHA? (select all that apply)

- ☒ Criminal or drug -related activity only to the extent required by law or regulation
☐ Criminal and drug -related activity, more extensively than required by law or regulation
☒ More general screening than criminal and drug -related activity (list factors below) Income and family composition
☐ Other (list below)

b. ☐ Yes ☒ No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c. ☒ Yes ☐ No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d. ☐ Yes ☒ No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC -authorized source)

e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

- ☐ Criminal or drug -related activity
☒ Other (describe below)
Prior owner leasing to participant on Section 8
Information on Voucher

(2) Waiting List Organization

a. With which of the following program waiting lists is the section 8 tenant -based assistance waiting list merged? (select all that apply)

- ☐ None
☒ Federal public housing
☒ Federal moderate rehabilitation
☐ Federal project -based certificate program
☒ Other federal or local program (list below)
Merged with the waiting list for Section 8 for the city of Louisville vouchers. Consumer gets the choice without penalty.

b. Where may interested persons apply for admission to section 8 tenant -based assistance? (select all that apply)

- ☐ PHA main administrative office
☒ Other (list below)
Accept by mail

(3) Search Time

- a. ☒ Yes ☐ No: Does the PHA give extensions on standard 60 -day period to search for a unit?

If yes, state circumstances below:

Give every household the full 120 days. Give extension of that if disability interferes with search.

(4) Admissions Preferences

a. Income targeting

- ☐ Yes ☒ No: Does the PHA plan to exceed the federal targeting requirement by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1. ☒ Yes ☐ No: Has the PHA established preferences for admission to section 8 tenant assistance? (other than date and time of application) (if no, skip to subcomponent (5) **Special purpose section 8 assistance programs**) -based
2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- ☒ Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- ☒ Victims of domestic violence
- ☒ Substandard housing
- ☒ Homelessness
- ☒ High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- ☐ Working families and those unable to work because of age or disability
- ☐ Veterans and veterans' families
- ☐ Residents who live and/or work in your jurisdiction
- ☐ Those enrolled currently in educational, training, or upward mobility programs
- ☐ Household that contribute to meeting income goals (broad range of incomes)
- ☐ Household that contribute to meeting income requirements (targeting)
- ☐ Those previously enrolled in educational, training, or upward mobility programs
- ☒ Victims of reprisals or hate crimes
- ☒ Other preference(s) (list below)
- Paying over 40% of income for rent and utilities
- Special population allocations from HUD or as properly promulgated by the HA

3.If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a points system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

2 Date and Time

Modified in that the offer to verify preference is by date and time and assertion of preference. After that opportunity, service is by date of verification of preference.

Former Federal preferences

- 1 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition) If no action by government, it is a 2.
- 2 Victims of domestic violence
- 2 Substandard housing
- 1 Homelessness: Depending on source of referral, if no referral, then a 2
- 2 High rent burden

Other preferences (select all that apply)

- ☐ Working families and those unable to work because of age or disability
- ☐ Veterans and veterans' families
- ☐ Residents who live and/or work in your jurisdiction
- ☐ Those enrolled currently in educational, training, or upward mobility programs
- ☐ Household that contributes to meeting income goals (broad range of incomes)
- ☐ Household that contributes to meeting income requirements (targeting)
- ☐ Those previously enrolled in educational, training, or upward mobility programs
- ☒ 2 Victims of reprisals or hate crimes
- ☒ Other preference(s) (list below)
- 2 Paying over 40% for rent and utilities
- 1 Special allocations for specific populations, both as HUD has awarded, and as the housing authority has enumerated by public hearings such as the Family Unification Program, Homeless Families Program, Mainstream Program and the Olmstead Program.

4. Among applicants on the waiting list with the equal preference status, how are applicants selected? (select one)

- ☒ Date and time of application Modified in that the offer to verify preference is by date and time and assertion of preference, after that, service is by date that the preference is verified.
- ☐ Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for “residents who live and/or work in the jurisdiction” (select one)

- ☐ This preference has previously been reviewed and approved by HUD
- ☐ The PHA requests approval for this preference through this PHA Plan

6. Relationship of preference to income targeting requirements: (select one)

- ☐ The PHA applies preferences within income tiers
- ☒ Not applicable: the pool of applicant families ensure that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admission to any special -purpose section 8 program administered by the PHA contained? (select all that apply)

- ☒ The Section 8 Administrative Plan
- ☐ Briefing sessions and written materials
- ☐ Other (list below)

b. How does the PHA announce the availability of any special -purpose section 8 programs to the public?

- ☐ Through published notices
- ☒ Other (list below) Write persons on the waiting list who qualify or, if it involves referral from a partner agency, the partner does the recruitment.

4. PHA Rent Determination Policies

[24 CFR Part 9 03.79(d)]

A. Public Housing

Exemptions: PHA that do not administer public housing are not required to complete sub -component 4A.

(1) Income Based Rent Policies

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

- ☐ The PHA will not employ any discretionary rent -setting policies for income based rent in public housing. Income -based rents are set at the higher of 30% of adjusted monthly income,

10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

- ☒ The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- ☒ \$0
☐ \$1-\$25
☐ \$26-\$50

2. ☐ Yes ☒ No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below :

c. Rents set at less than 30% than adjusted income

1. ☒ Yes ☐ No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

Plan to implement earned income deduction beyond HUD requirements.

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

- ☐ For the earned income of a previously unemployed household member
☐ For increases in earned income
☐ Fixed amount (other than general rent -setting policy)
If yes, state amount/s and circumstances below:

☐ Fixed percentage (other than general rent -setting policy)
If yes, state percentage/s and circumstances below:

☐ For household heads
☐ For other family members
☐ For transportation expenses

- ☐ For thenon-reimbursed medical expenses of non-disabled or non-elderly families
- ☒ Other (describe below)
Earned income deduction

e. Ceiling rents

1. Do you have ceiling rents? (rents set at _____ or lower than 30% of adjusted income) (select one)

- ☒ Yes for all developments
- ☐ Yes but only for some developments
- ☐ No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

- ☒ For all developments
- ☐ For all general occupancy developments (not elderly or disabled or elderly only)
- ☐ For specified general occupancy developments
- ☐ For certain parts of developments; e.g., the high-rise portion
- ☐ For certain size units; e.g., larger bedroom sizes
- ☐ Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- ☒ Market comparability study
- ☐ Fair market rents (FMR)
- ☐ 95th percentile rents
- ☐ 75 percent of operating costs
- ☐ 100 percent of operating costs for general occupancy (family) developments
- ☐ Operating costs plus debt service
- ☐ The "rental value" of the unit
- ☐ Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income _____ or family composition to the PHA such that the changes result in an adjustment to _____ rent? (select all that apply)

- ☐ Never
- ☐ At family option
- ☐ Anytime the family experiences an income increase
- ☐ Anytime a family experiences an income increase above a threshold amount or _____ percentage:
(if selected, specify threshold) _____

- ☒ Other(list below)
 Anytime there is a new source of income (not an increase in already reported income)
 All family composition changes
 Optional to report decrease

g. ☐ Yes ☒ No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

1. In setting the market -based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- ☒ This section 8 rent reasonableness study of comparable housing
☐ Survey of rents listed in local newspaper
☐ Survey of similar unassisted units in the neighborhood
☐ Other (list/describe below)

B. Section 8 Tenant -Based Assistance

Exemptions: PHA that do not administer Section 8 tenant -based assistance are not required to complete sub -component

4B. Unless otherwise specified, all questions in this section apply only to the tenant -based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).

(1) Payment Standards

Describe the voucher payment standards and policies .

a. What is the PHA's payment standard? (select the category that best describes your standard)

- ☐ At or above 90% but below 100% of FMR
☐ 100% of FMR
☒ Above 100% but at or below 110% of FMR
☐ Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- ☐ FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
☐ The PHA has chosen to serve additional families by lowering the payment standard
☐ Reflects market or submarket
☐ Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- ☒ FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- ☐ Reflects market or submarket
- ☒ To increase housing options for families
- ☒ Other (list below) Dramatic increase in utility costs

d. How often are payment standards reevaluated for adequacy? (select one)

- ☐ Annually
- ☒ Other (list below) As HUD raises FMR

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- ☒ Success rates of assisted families
- ☐ Rent burdens of assisted families
- ☒ Other (list below) Living in deconcentrated areas

(2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

- ☒ \$0
- ☐ \$1-\$25
- ☐ \$26-\$50

b. ☐ Yes ☒ No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

5. Operations and Management

[24 CFR Part 903.79(e)]

Exemptions from Component 5: High performing and small IPHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

A. PHA Management Structure

Describe the PHA's management structure and organization.

(select one)

- ☐ An organization chart showing the PHA's management structure and organization is attached.

☐ A brief description of the management structure and organization of the PHA follows:

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use "NA" to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning	Expected Turnover
Public Housing		
Section 8 Vouchers		
Section 8 Certificates		
Section 8 Mod Rehab		
Special Purpose Section 8 Certificates/Vouchers (list individually)		
Public Housing Drug Elimination Program (PHDEP)		
Other Federal Programs (list individually)		

C. Management and Maintenance Policies

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

(1) Public Housing Maintenance and Management: (list below)

(2) Section 8 Management: (list below)

6. PHA Grievance Procedures

[24 CFR Part 903.79(f)]

A. Public Housing

1. ☐ Yes ☐ No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA offices should residents or applicants to public housing contact to initiate the grievance process? (select all that apply) ePHA
- ☐ PHA main administrative office
 - ☐ PHA development management offices
 - ☐ Other (list below)

B. Section 8 Tenant -Based Assistance

1. ☐ Yes ☐ No: Has the PHA established informal review procedures for applicants to the Section 8 tenant -based assistance program and informal hearing procedures for families assisted by the Section 8 tenant -based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA offices should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)
- ☐ PHA main administrative office
 - ☐ Other (list below)

7. Capital Improvement Needs

[24 CFR Part 903.79(g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities

Exemptions from sub -component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

(1)CapitalFundProgramAnnualStatement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plant template **OR**, at the PHA's option, by completing and attaching a properly updated HUD -52837.

Select one:

☒ The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment BB

-or-

☐ The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert there)

(2)Optional5 -YearActionPlan

Agencies are encouraged to include a 5 -Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plant template **OR** by completing and attaching a properly updated HUD -52834.

a. ☒ Yes ☐ No: Is the PHA providing an optional 5 -Year Action Plan for the Capital Fund? (if no, skip to sub -component 7B)

b. If yes to question a, select one:

☒ The Capital Fund Program 5 -Year Action Plan is provided as an attachment to the PHA Plan at Attachment (state name Band R)

-or-

☐ The Capital Fund Program 5 -Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert there)

B.HOPEVI and Public Housing Development and Replacement Activities (Non - Capital Fund)

Applicability of sub -component 7B: All PHAs administering public housing using. Identify any approved HOPEVI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

☐ Yes ☒ No: a) Has the PHA received a HOPEVI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
b) Status of HOPEVI revitalization grant (complete one set of questions for each grant)

1. Development name:
2. Development (project) number:
3. Status of grant: (select the statement that best describes the current status)
 - ☐ Revitalization Plan under development
 - ☐ Revitalization Plan submitted, pending approval
 - ☐ Revitalization Plan approved
 - ☐ Activities pursuant to an approved Revitalization Plan underway

☐ Yes ☒ No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?

If yes, list development name/s below:

☐ Yes ☒ No: d) Will the PHA be engaging in any mixed -financed development activities for public housing in the Plan year?

If yes, list developments or activities below:

☐ Yes ☒ No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?

If yes, list developments or activities below:

8. Demolition and Disposition

[24 CFR Part 903.79(h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. ☒ Yes ☐ No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If "No", skip to component 9; if "yes", complete one activity description for each development.)

2. Activity Description

☐ Yes ☒ No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If "yes", skip to component 9. If "No", complete the Activity Description table below.)

Demolition/Disposition Activity Description	
1a. Development name:	Newburg
1b. Development (project) number:	KY36 -105-002
	KY36 -105-004
	KY36 -105-006

2. Activity type: Demolition <input type="checkbox"/> Disposition <input checked="" type="checkbox"/>
3. Application status (select one) Approved <input checked="" type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission: (02/04/93)
5. Number of units affected: 41 in 002, 17 in 004 and 10 in 006
6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: 5/93 b. Projected end date of activity: 6/04

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24CFR Part 903.79(i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. ☐ Yes ☒ No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If "No", skip to component 10. If "yes", complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHA completing streamlined submissions may skip to component 10.)

2. Activity Description

- ☐ Yes ☐ No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 10. If "No", complete the Activity Description table below.

Designation of Public Housing Activity Description
1a. Development name:
1b. Development (project) number:
2. Designation type: Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/>

Occupancy by only elderly families and families with disabilities	<input type="checkbox"/>
3. Application status (select one)	
Approved; included in the PHA's Designation Plan	<input type="checkbox"/>
Submitted, pending approval	<input type="checkbox"/>
Planned application	<input type="checkbox"/>
4. Date this designation approved, submitted, or planned for submission: (DD/MM/YY)	
5. If approved, will this designation constitute a (select one)	
<input type="checkbox"/> New Designation Plan	
<input type="checkbox"/> Revision of a previously -approved Designation Plan?	
6. Number of units affected:	
7. Coverage of action (select one)	
<input type="checkbox"/> Part of the development	
<input type="checkbox"/> Total development	

10. Conversion of Public Housing to Tenant -Based Assistance

[24CFR Part 903.79(j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessment of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

1. ☐ Yes ☒ No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If "No", skip to component 11; if "yes", complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

- ☐ Yes ☐ No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 11. If "No", complete the Activity Description table below.

Conversion of Public Housing Activity Description
1a. Development name:
1b. Development (project) number:
2. What is the status of the required assessment?
<input type="checkbox"/> Assessment underway
<input type="checkbox"/> Assessment results submitted to HUD
<input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question)
<input type="checkbox"/> Other (explain below)

3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)
4. Status of Conversion Plan (select the statement that best describes the current status) <div style="margin-left: 20px;"> <input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD - approved Conversion Plan underway </div>
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one) <div style="margin-left: 20px;"> <input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved: _____) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved: _____) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved: _____) <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent <input type="checkbox"/> Requirements no longer applicable: site now has less than 300 units <input type="checkbox"/> Other: (describe below) </div>

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

11. Homeownership Programs Administered by the PHA

[24 CFR Part 903.79(k)]

A. Public Housing

Exemptions from Component 11 A: Section 8 only PHAs are not required to complete 11 A.

1. ☒ Yes ☐ No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of

1937(42U.S.C.1437z -4).(If“No”,skiptocomponent11B;if“yes”, completeoneactivitydescriptionforeachapplicableprogram/plan,unless eligibletocompleteastreamlinedsubmissiondueto **smallPHA** or **high performingPHA** status.PHAscompletingstreamlinedsub missionsmayskip tocomponent11B.)

2.ActivityDescription

☐ Yes ☒ No: HasthePHAprovidedallrequiredactivitydescriptioninformationforthis componentinthe **optional**PublicHousingAssetManagementTable?(If“yes”, skiptocomponent12.If“No”,completetheActivityDescriptiontablebelow.)

PublicHousingHomeownershipActivityDescription (Completeoneforeachdevelopmentaffected)
1a.Developmentname:NewburgStraightRental
1b.Development(project)n umber:KY36 -105-006,KY36 -105-004andKY36 -105-002
2.FederalProgramauthority: <input type="checkbox"/> HOPEI <input checked="" type="checkbox"/> 5(h) <input type="checkbox"/> TurnkeyIII <input type="checkbox"/> Section32oftheUSHAof1937(effective10/1/99)
3.Applicationstatus:(selectone) <input checked="" type="checkbox"/> Approved;includedinthePHA’sHomeownershipPlan/Program <input type="checkbox"/> Submitted,pendingapproval <input type="checkbox"/> Plannedapplication
4.DateHomeownershipPlan/Programapproved,submitted,orplannedfo rsubmission: (04/22/93)
5. Numberofunitsaffected: 65
6.Coverageofaction:(selectone) <input type="checkbox"/> Partofthedevelopment <input checked="" type="checkbox"/> Totaldevelopment

B.Section8TenantBasedAssistance

1. ☒ Yes ☐ No: DoesthePHAplantoadministeraSection8Homeownershipprogrampursuant toSection8(y)oftheU.S.H.A.of1937,asimplementedby24CFRpart982? (If“No”,skiptocomponent12;if“yes”,describeeachprogramusingthetab le below(copyandcompletequestionsforeachprogramidentified),unless the PHAiseligibletocompleteastreamlinedsubmissionduetohighperformer status. **HighperformingPHAs** mayskiptocomponent12.)

2.ProgramDescription:

a. Size of Program

☐ Yes ☒ No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- ☐ 25 or fewer participants
☐ 26- 50 participants
☐ 51 to 100 participants
☐ more than 100 participants

b. PHA -established eligibility criteria

☒ Yes ☐ No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?
If yes, list criteria below: Must have worked full time for over one year unless disabled/elderly. Must be in FS Seven if elderly/disabled for the case management. Being good standing with the housing authority, e.g. not owe money and not have been in termination of assistance more than 2x in the preceding two years. Family must commit to full time employment of all adults who are not elderly/disabled or in school. Must agree to post purchase counseling and being in post purchase Individual Development Account program for repair and maintenance. There was a demonstration program that had different income limits and the household could only purchase newly built three bedroom homes. That demonstration was limited to five families.

12. PHA Community Service and Self -sufficiency Programs

[24CFR Part 903.79(l)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub -component C.

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

☒ Yes ☐ No: Has the PHA entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? 06/27/97 updated each year

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- ☒ Client referrals
☒ Information sharing regarding mutual clients (for rent determinations and otherwise)
☒ Coordinate the provision of specific social and self -sufficiency services and programs to eligible families
☐ Jointly administer programs

- ☐ PartnertoadministeraHUDWelfare -to-Workvoucherprogram
- ☐ Jointadministrationofotherdemonstrationprogram
- ☐ Other(describe)

B. Servicesandprogramsofferedtoresidentsandparticipants

(1)General

a.Self -SufficiencyPolicies

Which,ifanyofthefollowingdiscretionarypolicie swillthePHAemploytoenhancethe economicandsocialself -sufficiencyofassistedfamiliesinthefollowingareas?(selectallthat apply)

- ☒ Publichousingrentdeterminationpolicies
- ☐ Publichousingadmissionspolicies
- ☐ Section8admissionspolicies
- ☐ Preferenceinadmissiontosection8forcertainpublichousingfamilies
- ☐ Preferencesforfamiliesworkingorengagingintrainingoreducationprogramsfornon housingp rogramsoperatedorcoordinatedbythePHA
- ☐ Preference/eligibilityforpublichousinghomeownershipoptionparticipation
- ☒ Preference/eligibilityforsection8homeownershipoptionparticipation
- ☒ Otherpolicies(listbelow)

Engagingin an Individual Development Account program under the Assets for Independence Act grant.

ContinuethetheFamilySelf -SufficiencyProgramunlessanewprogramisdeveloped

b.EconomicandSocialself -sufficiencyprograms

- ☒ Yes ☐ No: DoesthePHAAcoordinate,promoteorprovideanyprogramstoenhancethe economicandsocialself -sufficiencyofresidents?(If“yes”,completethe followingtable;if“no”skiptosub -component2,FamilySelfSufficiency Programs.Thepositionofthetablemaybealtereditstouse.)

ServicesandPrograms				
ProgramName&Description (includinglocation,ifappropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (developmentoffice/ PHAmainoffice/ otherprovidername)	Eligibility (publichousingor section8 participantsor both)
<i>FamilySelf -Sufficiency</i>	<i>250</i>	<i>Clientchoice</i>	<i>PHAmainoffice</i>	<i>Section8</i>
CommonwealthIndividual DevelopmentAccountProgram	17pub hsgand60	Clientchoice and,ifSection8,	PHAmainoffice	Publichousing andSection8

	Section8	aparticipating LIHTCowner		
Mainstream	90	Waitinglist	PHAmainoffice	Section8
FamilyUnificationProgram	93	CPSreferred	PHAmainoffice	Section8

(2)FamilySelfSufficiencyprogram/s

a.ParticipationDescription

FamilySelfSufficiency(FSS)Participation		
Program	RequiredNumberofParticipants (startofFY2003Estimate)	ActualNumberofParticipants (Asof:12/31/2002)
PublicHousing		
Section8	360	209

- b. ☒ Yes ☐ No: If the PHA is not maintaining the minimum programs size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum programs size?
If no, list steps the PHA will take below:

C.WelfareBenefitReductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by:
(select all that apply)

- ☒ Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
- ☒ Informing residents of new policy on admission and reexamination
- ☐ Actively notifying residents of new policy at times in addition to admission and reexamination.
- ☒ Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- ☐ Establishing a protocol for exchange of information with all appropriate TANF agencies
- ☐ Other: (list below)

13. PHA Safety and Crime Prevention Measures

[24CFR Part 903.79(m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

- ☐ High incidence of violent and/or drug -related crime in some or all of the PHA's developments
- ☐ High incidence of violent and/or drug -related crime in the area surrounding or adjacent to the PHA's developments
- ☐ Residents fearful for their safety and/or the safety of their children
- ☒ Observed lower -level crime, vandalism and/or graffiti
- ☐ People on waiting list unwilling to move into one or more developments due to perceived and/or actual level of violent and/or drug -related crime
- ☐ Other (describe below)

2. What information or data did the PHA use to determine the need for PHA actions to improve safety of residents (select all that apply).

- ☒ Safety and security survey of residents
- ☒ Analysis of crime statistics over time for crimes committed "in and around" public housing authority
- ☐ Analysis of cost trends over time for repair of vandalism and removal of graffiti
- ☐ Resident reports
- ☒ PHA employee reports
- ☐ Police reports
- ☐ Demonstrable, quantifiable success with previous or ongoing anti-crime/anti-drug programs
- ☐ Other (describe below)

3. Which developments are most affected? (list below)

B. Crime and Drug Prevention activities the PHA has undertaken or plan to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plan to undertake: (select all that apply)

- ☒ Contracting with outside and/or resident organizations for the provision of crime prevention activities - and/or drug -
- ☒ Crime Prevention Through Environmental Design
- ☒ Activities targeted to at - risky youth, adults, or seniors
- ☐ Volunteer Resident Patrol/Block Watchers Program
- ☐ Other (describe below)

2. Which developments are most affected? (list below)

Newburg
Whipps Mill
Fegenbush
East Pages Lane
Noltemeyer Wynde

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- ☒ Police involvement in development, implementation, and/or ongoing evaluation of drug elimination plan -
- ☒ Police provide crime data to housing authority staff for analysis and action
- ☐ Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- ☐ Police regularly testify in and otherwise support eviction cases
- ☐ Police regularly meet with the PHA management and residents
- ☒ Agreement between PHA and local law enforcement agency for provision of above - baseline law enforcement services
- ☐ Other activities (list below)

2. Which developments are most affected? (list below)

Whipps Mill
Newburg and Fegenbush
East Pages Lane

D. Additional information as required by PHDEP/PHDEP Plan

PHA eligible for FY 2000 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- ☐ Yes ☒ No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- ☐ Yes ☒ No: Has the PHA included the PHDEP Plan for FY200 in this PHA Plan?
- ☐ Yes ☐ No: This PHDEP Plan is an Attachment. (Attachment Filename: ____)

14. RESERVED FOR PET POLICY

[24CFR Part 903.79(n)]

15. Civil Rights Certifications

[24CFR Part 903.79(o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

16. Fiscal Audit

[24CFR Part 903.79(p)]

1. ☒ Yes ☐ No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))?
(If no, skip to component 17.)
2. ☒ Yes ☐ No: Was the most recent fiscal audit submitted to HUD?
3. ☐ Yes ☒ No: Were there any findings as the result of that audit?
4. ☐ Yes ☐ No: If there were any findings, do any remain unresolved?
If yes, how many unresolved findings remain? ____
5. ☐ Yes ☐ No: Have responses to any unresolved findings been submitted to HUD?
If not, when are they due (state below)?

17. PHA Asset Management

[24CFR Part 903.79(q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1. ☐ Yes ☒ No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock, including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?
2. What types of asset management activities will the PHA undertake? (select all that apply)
 - ☒ Not applicable
 - ☐ Private management

- ☐ Development-based accounting
- ☐ Comprehensive stock assessment
- ☐ Other: (list below)

3. ☐ Yes ☐ No: Has the PHA included descriptions of asset management activities in the Public Housing Asset Management Table?

optional

18. Other Information

[24 CFR Part 903.79(r)]

A. Resident Advisory Board Recommendations

1. ☒ Yes ☐ No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)

- ☐ Attached at Attachment (Filename)
 - ☒ Provided below: Develop a Landlord Advisory Group
- Add "double up families" as a preference; support the post -purchase IDA program

3. In what manner did the PHA address those comments? (select all that apply)

- ☒ Considered comments, but determined that no changes to the PHA Plan were necessary.
 - ☐ The PHA changed portions of the PHA Plan in response to comments
- List changes below:

☐ Other: (list below)

B. Description of Election process for Residents on the PHA Board

1. ☐ Yes ☒ No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub component C.)

2. ☐ Yes ☒ No: Was there a resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub component C.)

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

- ☐ Candidates were nominated by resident and assisted family organizations
- ☐ Candidates could be nominated by any adult recipient of PHA assistance
- ☐ Self-nomination: Candidates registered with the PHA and requested a place on ballot
- ☐ Other: (describe)

b. Eligible candidates: (select one)

- ☐ Any recipient of PHA assistance
- ☐ Any head of household receiving PHA assistance
- ☐ Any adult recipient of PHA assistance
- ☐ Any adult member of a resident or assisted family organization
- ☐ Other (list)

c. Eligible voters: (select all that apply)

- ☐ All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- ☐ Representatives of all PHA resident and assisted family organizations
- ☐ Other (list)

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: Jefferson County, Kentucky

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- ☒ The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- ☒ The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- ☒ The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- ☒ Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
 - Aggressively seeking additional housing assistance
 - Creating new opportunity to live outside of high impact areas
 - Aggressively serving disabled and elderly
- ☐ Other: (list below)

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

Aggressively seeking additional housing assistance
Maintaining housing assistance
Creating new opportunity to live outside of high impact areas
Seeking assistance and creating admissions criteria that assist very low income families, disabled persons and elderly persons

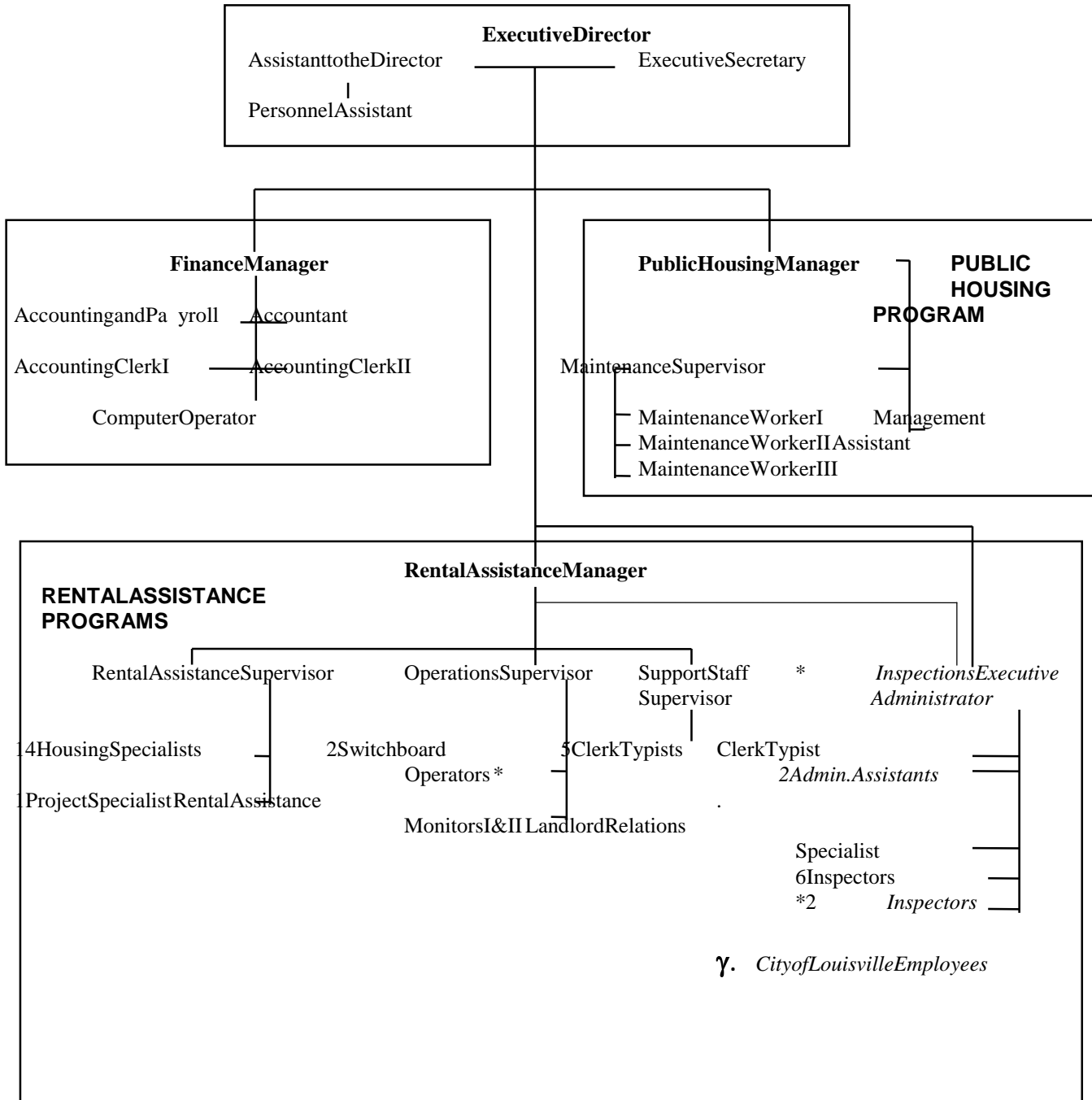
Having programsthatfacilitateself -sufficiency

D.OtherInformationRequiredbyHUD

Use this section to provide any additional information requested by HUD.

Attachments

AttachmentC



ATTACHMENT E
Resident Advisory Board

MaryDMills
ElizabethSecrest
IbrahimAfra
LarryPrice
AnjaLawson
KimAnderson

VacantSection8slot

ATTACHMENT

Section 8 Homeownership

HAIJ has a homeownership program. There were five closings under the experimental program. Now there is a regular program and there have been four closings and 20 more are briefed to use their voucher for ownership. There is a post-purchase counseling program and a post-purchase Individual Development Account Program.

ATTACHMENTG
ResidentMembershipofthePHAGoverningBoard

RebeccaHarriswaschosenfromtheResidentAdvisoryBoardbythe jurisdiction'schiefelectedofficial.Hertermisthreeyears.

Ms.HarrisresignedfromtheboardinDecember2002.TheHousing AuthorityofJeffersonCountyhassenttwomaioingstopublichousing andSection8consumers.We hadmeetingsfortheAnnualPlanandwill askoneoftheResidentAdvisoryBoardmemberstobeontheboardof commissioners.

ATTACHMENTH

ImplementationofPublicHousingResidentCommunityService Requirements

Thissectionhasbeensuspended.

PHA Plan

ATTACHMENT I

DEFINITIONS OF SIGNIFICANT AMENDMENT TO THE ANNUAL PLAN AND SUBSTANTIAL DEVIATION FROM THE 5-YEAR PLAN

Section 4. Component 19: Definition of “Substantial Deviation” and “Significant Amendment or Modification”

A. Substantial Deviation from the Annual or 5-Year Plans shall be defined as

1. any change with regard to demolition or disposition or designation of public housing
2. implementation of targeting of households with higher incomes for public housing

B. Significant Amendment or Modification of the Annual or 5-Year Plans shall be defined as

1. any change with regard to demolition or disposition or designation or public housing,
2. change in the priorities of service off the waiting list for Section 8, other than to add or delete specific special referral programs.

ATTACHMENT Y

DECONCENTRATION AND INCOME MIXING ASSESSMENT QUESTIONS

Does the PHA have any general occupancy (family) public housing developments covered by the deconcentration rule?

Answer: No

ATTACHMENT Z

VOLUNTARY CONVERSION REQUIRED INITIAL ASSESSMENT STATUS QUESTIONS

A. How many of the PHA's developments are subject to the Required Initial Assessment?

Answer: None

B. How many of the PHA's developments are not subject to the Required Initial Assessments based on exemptions (e.g. elderly and/or disabled developments not general occupancy projects)?

Answer: Two

C. How many Assessments were conducted for the PHA's covered developments?

Answer: None

D. Identify PHA developments that may be appropriate for conversion based on the Required Initial Assessments:

Answer: None

E. If the PHA has not completed the Required Initial Assessments, describe the status of these assessments:

Answer: Not applicable

RASSFollow -UpReport

RASSFollow –UpReport

1. Communication –Score61.7%

We will strive to improve communication with our residents. We currently make every effort to assure that we communicate by way of newsletters, flyers, notices as well as oral communication. To improve communication, we will send monthly newsletters to all sites. We have already made improvements for our new resident orientation and new move -in packets. The management staff has made a goal to respond to all inquiries from all residents within 4 hours or less as much as possible. We currently have an open door policy with all current residents and we make every attempt to be available for residents as they call. A personal goal to be more supportive to our resident council and attend more meetings whenever possible. One of our new questions that we ask each resident as we communicate with them will be “Is everything alright in your unit and your community?”. This encourages feedback which will help open up communication between management and resident. Our goal is effective communication.

2. Safety –Score63.3%

The Housing Authority of Jefferson County will continue to provide additional funding to the local police department through the remaining PHDEP funds. These funds give us a direct line of communication with the individual districts policing our sites. Our agency will continue to improve this relationship.

The Housing Authority has also encouraged the resident council to organize what is known as a block watch. One site has begun formation and will be called The Community Watch. The other council is in the planning stages. Management will participate in order to stay in touch with security issues as they arise.

3. Neighborhood Appearance –Score57.5%

Our plan for the upcoming year to improve neighborhood appearance includes several site improvements through Capital Funds. We will also work with the resident council and the neighborhood watch to property train residents to care for their community.

More site inspections will be performed in order to spot areas that are in need of attention. Newsletters, notices and violation will be used to encourage or enforce the Lease relating to the neighborhood appearance. Once management and the residents work closely together, the appearances should not be a problem.

CAPITALFUNDPROGRAMTABLESSTARTHERE

AnnualStatement/PerformanceandEvaluationReport					
CapitalFundProgramandCapitalFundProgramReplacementHousingFactor(CFP/CFPRHF)Part1:Summary					
PHAName:HOUSINGAUTHORITYOFJEFFERSONCO.		GrantTypeandNumber CapitalFundProgramGrantNo:KY36P105501 -01 ReplacementHousingFactorGrantNo:			FederalFYofGrant: 2001
<input type="checkbox"/> OriginalAnnualStatement <input type="checkbox"/> ReserveforDisasters/Emergencies <input checked="" type="checkbox"/> RevisedAnnualStatement(revisionno: 4) PerformanceandEvaluationReportforPeriodEnding:12/31/02 <input type="checkbox"/> FinalPerformanceandEvaluationReport					
Line No.	SummarybyDevelopmentAccount	TotalEstimatedCost		TotalActualCost	
		Original	Revised	Obligated	Expended
1	Totalnon -CFPFunds				
2	1406Operations				
3	1408ManagementImprovementsSoftCosts				
	ManagementImprovementsHardCosts				
4	1410Administration	\$43,641	\$43,016	\$25,134	\$25,134
5	1411Audit				
6	1415LiquidatedDamages				
7	1430FeesandCosts	19,000	19,625	19,625	19,625
8	1440SiteAcquisition				
9	1450SiteImprovement	58,000	58,000	26,113	26,113
10	1460DwellingStructures	230,365	230,365	224,075	176,692
11	1465.1DwellingEquipment —Nonexpendable	3,635	3,635	3,635	3,635
12	1470NondwellingStructures	11,000	11,000	11,000	10,975
13	1475NondwellingEquipment				
14	1485Demolition				
15	1490ReplacementReserve				
16	1492MovingtoWorkDemonstration				
17	1495.1RelocationCosts				
18	1499DevelopmentActivities				
19	1501CollateralizationExpensesorDebtService				
20	1502Contingency				
21	AmountofAnnualGrant:(sumoflines.....)	\$365,641	\$365,641	\$309,582	\$262,174
22	AmountofflineXXRelatedtoLBPA ctivities				
23	AmountofflineXXRelatedtoSection504compliance	\$10,000	\$10,000		
24	AmountofflineXXRelatedtoSecurity –SoftCosts				

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHAName: HOUSING AUTHORITY OF JEFFERSON CO.		Grant Type and Number Capital Fund Program Grant No: KY36P105501 -01 Replacement Housing Factor Grant No:			Federal FY of Grant: 2001
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 4) Performance and Evaluation Report for Period Ending: 12/31/02 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
25	Amount of Line XX related to Security --Hard Costs				
26	Amount of line XX Related to Energy Conservation Measures	\$30,058	\$30,058		

AnnualStatement/PerformanceandEvaluationReport

CapitalFundProgramandCapitalFundProgramReplacementHousingFactor(CFP/CFPRHF)

PartII:SupportingPages

PHAName: HousingAuth.ofJeff. Co.		GrantTypeandNumber CapitalFundProgramGrantNo: KY36P105501-01 ReplacementHousingFactorGrantNo:				FederalFYofGrant: 2001			
Development Number Name/HA-Wide Activities	GeneralDescriptionofMajorWork Categories		Dev. Acct No.	Quantity	TotalEstimatedCost		TotalActualCost		Statusof Work
					OriginalRevised		ObligatedExpended		
HA-WIDE	Administration-Salaryexpenses		1410(a)		\$31,263	\$30,638	\$16,749	\$16,749	Ongoing
“	Employeebenefits		1410(b)		9,378	9,378	5,655	5,655	“
“	Misc.Admin.expenses		1410(c)		3,000	3,000	2,730	2,730	“
“	A&Efees&costs		1430		19,000	19,625	19,625	19,625	Complete
KY105-005	Newcarpetinhallways@BLP		1450	6	4,000	4,000	3,755	3,755	Inprogress
“	Replacechiller&compressor		1460	1	108,173	108,173	101,883	101,783	Complete
KY105-005	Handicapdoor		1460	1	1,649	1,649	1,649	1,649	Complete
“	Newappliances		1465	9	3,635	3,635	3,635	3,635	Complete
“	Upgradefirearmsystem		1470	1	11,000	11,000	11,000	10,975	Complete
KY105-010	Dumpstershields		1450	2	3,000	1657	0	0	
“	Fencing		1450	1	3,000	3,000	0	0	
“	Handrails		1450	6	3,000	5,065	5,065	5,065	Complete
“	Seal&stripeparkinglots		1450	3	20,000	17,935	750	750	Inprogress
“	ADAconcretework		1450		10,000	10,000	200	200	Inprogress
“	Drainagework@Noltemeyer		1450	1	15,000	16,343	16,343	16,343	Complete
“	Newtilefloors		1460	35	87,000	87,000	87,000	39,717	Inprogress
“	Weatherizationofunits		1460	84	30,058	30,058	30,058	30,058	Complete
“	Newcounters&cabinets		1460		0	0	0	0	
“	Replacefaucets		1460		0	0	0	0	
“	Stormdoorrepair		1460	41	3,485	3,485	3,485	3,485	Complete

<p>Annual Statement/Performance and Evaluation Report</p> <p>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)</p> <p>Part III: Implementation Schedule</p>
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Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PH Name: HOUSING AUTHORITY OF JEFFERSON CO.		Grant Type and Number Capital Fund Program Grant No : KY36P105501 -03 Replacement Housing Factor Grant No:			Federal FY of Grant: 2003
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12/31/02 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total Non -CFP Funds				
2	1406 Operations				
3	1408 Management Improvements Soft Costs				
	Management Improvements Hard Costs				
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	\$300,000		\$0	\$0
11	1465.1 Dwelling Equipment — Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization Expenses or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 1 -20)	\$300,000		\$0	\$0
22	Amount of line XX Related to LBP Activities				
23	Amount of line XX Related to Section 504 compliance	\$200,000			
24	Amount of line XX Related to Security --Soft Costs				
25	Amount of line XX related to Security --Hard Costs				
26	Amount of line XX Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHAName: HousingAuth.ofJeff.Co.		Grant TypeandNumber CapitalFundProgramGrantNo: KY36P105501-03 ReplacementHousingFactorGrantNo:					FederalFYofGrant: 2003		
Development Number Name/HA-Wide Activities	GeneralDescriptionofMajorWork Categories		Dev. Acct No.	Quantity	TotalEstimatedCost		TotalActualCost		Statusof Work
					Original	Revised	Obligated	Expended	
HA-WIDE	Administration--Salary		1410(a)						
“	EmployeeBenefits		1410 (b)						
“	Misc.AdministrationExpenses		1410(c)						
KY105-005	Painthallways		1460		10,000				
KY105-010	Replacekitchencabinets -54units		1460	54	200,000				
“	Replacetilefloors		1460		90,000				

<p>Annual Statement/Performance and Evaluation Report</p> <p>Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)</p> <p>Part III: Implementation Schedule</p>
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CapitalFundProgramFive -YearActionPlan
PartI:Summary

PHAName		<input checked="" type="checkbox"/> Original5 -YearPlan <input type="checkbox"/> RevisionNo:			
Development Number/Name/HA- Wide	Year1	WorkStatementforYear2 FFYGrant:2003 PHAFY:2004	WorkStatementforYear3 FFYGrant:2004 PHAFY:2005	WorkStatementforYear4 FFYGrant:2005 PHAFY:2006	WorkStatementforYear5 FFYGrant:2006 PHAFY:2007
	Annual Statement				
KY36P1050010005		Painthallways	Shoproofmaintenance	Carpethallways	Painthallways
KY36P1050020010		Tilefloors	Furnace&A/Cfor33units	Windowsfor84units	Replacesiding&gutters
		Newkitchencabinets —54units	Waterheaters —84units		Kitchenrehab&appliances
			SealA.C.panels		
TotalCFPFunds (Est.)		\$300,000	\$270,000	\$300,000	\$300,000
TotalReplacement HousingFactorFunds					

CapitalFundProgramFive -YearActionPlan
Part II:SupportingPages —WorkActivities

Activitiesfor Year1	ActivitiesforYear: __2__ FFYGrant:2003 PHAFY:2004			ActivitiesforYear: __3__ FFYGrant:2004 PHAFY:2005		
	KY36P1050010005	Painthallways	\$10,000	KY36P1050010005	Roofmaintenanceshop	\$5,000
	KY36P1050020010	TileFloors	\$90,000	KY36P1050020010	Furnace&A/C	\$145,000
					33EDH	
	KY36P1050020010	Kitchencabinets -54 units	\$200,000			
				“	Waterheaters	\$45,000
				“	SealA.C.panels	\$75,000

CapitalFundProgramFive -YearActionPlan
PartII:SupportingPages —WorkActivities

Activitiesfor Year1	ActivitiesforYear:4__ FFYGrant:2005 PHAFY:2006			ActivitiesforYear: __5__ FFYGrant:2006 PHAFY:2007		
	KY36P1050010005	Carpethallways	\$25,000	KY36P1050010005	Painthallways	\$12,000
	KY36P1050020010	Windowreplacement	\$275,000	KY36P1050020010	Kitchenrehab.&	\$90,000

					appliance -54units	
				KY36P1050020010	Replacesiding& gutters	\$198,000

CAPITALFUNDPROGRAMTABLESSTARTHERE

AnnualStatement/PerformanceandEvaluationReport					
CapitalFundProgramandCapitalFundProgramReplacementHousingFactor(CFP/CFPRHF)Part1:Summary					
PHAName:HOUSINGAUTHORITYOFJEFFERSONCO.		GrantTypeandNumber CapitalFundProgramGrantNo:KY36P105501 -02 ReplacementHousingFactorGrantNo:			FederalFYofGrant: 2002
<div style="display: flex; justify-content: space-between;"> OriginalAnnualStatement <input type="checkbox"/> ReserveforDisasters/EmergenciesX RevisedAnnualStatement(revisionno:3) </div> <div style="display: flex; justify-content: space-between;"> XPerformanceandEvaluationReportforPeriodEnding:12/31/02 <input type="checkbox"/> FinalPerformanceandEvaluationReport </div>					
Line No.	SummarybyDevelopmentAccount	TotalEstimatedCost		TotalActualCost	
		Original	Revised	Obligated	Expended
1	Totalnon -CFPFunds				
2	1406Operations				
3	1408ManagementImprovementsSoftCosts				
	ManagementImprovementsHardCosts				
4	1410Administration	\$8,187	\$8,187	\$0	\$0
5	1411Audit				
6	1415LiquidatedDamages				
7	1430FeesandCosts				
8	1440SiteAcquisition				
9	1450SiteImprovement				
10	1460DwellingStructures	292,000	292,000	101,692	0

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHAName: HOUSING AUTHORITY OF JEFFERSON CO.		Grant Type and Number Capital Fund Program Grant No: KY36P105501 -02 Replacement Housing Factor Grant No:			Federal FY of Grant: 2002
Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies X Revised Annual Statement (revision no: 3) X Performance and Evaluation Report for Period Ending: 12/31/02 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
11	1465.1 Dwelling Equipment — Nonexpendable				
12	1470 Non dwelling Structures				
13	1475 Non dwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization Expenses or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 1 -20)	\$300,187	\$300,187	\$0	\$0
22	Amount of line XX Related to LBP Activities				
23	Amount of line XX Related to Section 504 compliance	142,000	142,000		
24	Amount of line XX Related to Security --Soft Costs				
25	Amount of Line XX related to Security --Hard Costs				
26	Amount of line XX Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHAName: Housing Auth. of Jeff. Co.		Grant Type and Number Capital Fund Program Grant No: KY36P105501-02 Replacement Housing Factor Grant No:					Federal FY of Grant: 2002		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
					Original	Revised	Obligated	Expended	
HA-WIDE	Administration--Salary		1410(a)		\$5,000	\$5,000	\$0	\$0	
"	Employee Benefits		1410 (b)		1,500	1,500			
"	Misc. Administration Expenses		1410(c)		1,687	1,687			
KY105-005	Panel Replacement		1460		150,000	150,000			
KY105-010	EDH Kitchen Renovations		1460	26	142,000	142,000	101,692	0	In progress
					\$300,187	\$300,187	\$101,692	\$0	

Annual Statement/Performance and Evaluation Report

CapitalFundProgramandCapitalFundProgramReplacementHousingFactor(CFP/CFPRHF)

Part III: Implementation Schedule

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